

Form PTO 1449

(Modified)

U.S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICE

DOCKET NO.

3805-025-27 CIP

SHEET 1 OF 5

SERIAL NO.

10/721,227

APPLICANT

Mark Edward KANE, et al.

LIST OF REFERENCES CITED BY APPLICANT
(Use Several Sheets if Necessary)

FILING DATE

November 26, 2003

GROUP ART UNIT

3661

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
CMN	A	5,129,605	07/14/92	Burns, et al.			
CMN	B	6,459,965	10/01/02	Polivka, et al.			
CMN	C	6,081,769	06/27/00	Curtis			
CMN	D	6,218,961	04/17/01	Gross, et al.			
CMN	E	5,751,569	05/12/98	Metel, et al.			
CMN	F	6,135,396	10/24/00	Whitfield, et al.			
CMN	G	6,397,147	05/28/02	Whithead			
CMN	H	6,374,184	04/16/02	Zahm, et al.			
CMN	I	6,421,587	07/16/02	Diana, et al.			
CMN	J	6,135,396	10/24/00	Whitfield, et al.			
CMN	K	6,459,964	10/01/02	Vu, et al.			
CMN	L	6,377,877	04/23/02	Doner			
CMN	M	6,322,025	11/27/01	Colbert, et al.			
CMN	N	6,311,109	10/30/01	Hawthorne, et al.			
CMN	O	5,828,979	10/27/98	Polivka, et al.			
CMN	P	4,711,418	12/08/87	Aver, Jr., et al.			
CMN	Q	5,867,122	02/02/99	Zahm, et al.			
CMN	R	4,459,668	07/10/84	Inoue, et al.			
CMN	S	6,102,340	08/15/00	Peek, et al.			
CMN	T	5,533,695	07/09/96	Heggestad, et al.			
CMN	U	5,452,870	09/26/95	Heggestad			
CMN	V	5,340,062	08/23/94	Heggestad			
CMN	W	5,950,966	09/14/99	Hungate, et al.			
CMN	X	5,398,894	03/21/95	Pascoe			

EXAMINER

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DATE CONSIDERED

5/30/05

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CHAN	Y	6,049,745	04/11/00	Douglas et al.			
CHAN	Z	4,181,943	01/01/80	Mercer, Sr. et al.			
CHAN	AA	4,561,057	12/24/85	Haley, Jr. et al.			
CHAN	AB	5,072,900	12/17/91	Malon			
CHAN	AC	5,177,685	01/05/93	Davis et al.			
CHAN	AD	5,332,180	07/26/94	Peterson et al.			
CHAN	AE	5,364,047	11/15/94	Petit et al.			
CHAN	AF	5,394,333	02/28/95	Kao			
CHAN	AG	5,620,155	04/15/97	Michalek			
CHAN	AH	5,699,986	12/23/97	Welk			
CHAN	AI	5,740,547	04/14/98	Kull et al.			
CHAN	AJ	5,978,718	11/02/99	Kull			
CHAN	AK	5,995,881	11/30/99	Kull			
CHAN	AL	5,803,411	09/08/98	Ackerman et al.			
CHAN	AM	5,944,768	08/31/99	Ito et al.			
CHAN	AN	6,179,252 B1	01/30/01	Roop et al.			
CHAN	AO	6,345,233 B1	02/05/02	Erick			
CHAN	AP	6,371,416 B1	04/16/02	Hawthorne			
CHAN	AQ	6,373,403 B1	04/16/02	Korver et al.			
CHAN	AR	6,456,937 B1	09/24/02	Doner et al.			
CHAN	AS	6,487,478 B1	11/26/02	Azzaro et al.			
CHAN	AT	6,701,228	03/02/04	Kane, et al.			

EXAMINER

CUONG NGUYEN
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CAN	AV	"System Architecture, ATCS Specification 100", May 1995.	
CAN	BA	"A New World for Communications & Signaling", Progressive Railroading, May 1986.	
CAN	BB	"Advanced Train Control Gain Momentum", Progressive Railroading, March 1986.	
CAN	BC	"Railroads Take High Tech in Stride", Progressive Railroading, May 1985.	
CAN	BD	Lyle, Denise, "Positive Train Control on CSXT", Railway Fuel and Operating Officers Association, Annual Proceedings, 2000.	
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CAN	BK	"Communications/Signaling: Vital for dramatic railroad advances", Progressive Railroading, May 1988.	
CAN	BL	"ATCS's System Engineer", Progressive Railroading, July 1988.	
CAN	BN	"The Electronic Railroad Emerges", Progressive Railroading, May 1989.	
CAN	BM	"C ³ Comes to the Railroads", Progressive Railroading, September 1989.	
CAN	BO	"ATCS on Verge of Implementation", Progressive Railroading, December 1989.	
CAN	BP	"ATCS Evolving on Railroads", Progressive Railroading, December 1992.	
CAN	BQ	"High Tech Advances Keep Railroads Rolling", Progressive Railroading, May 1994.	
CAN	BR	"FRA Promotes Technology to Avoid Train-To-Train Collisions", Progressive Railroading, August 1994.	
CAN	BS	"ATCS Moving slowly but Steadily from Lab for Field", Progressive Railroading, December 1994.	
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CAN	BU	"Electronic Advances Improve How Railroads Manage", Progressive Railroading, December 1995.	
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CMN	BW	Foran, P., "Train Control Quandary, Is CBTC viable? Railroads, Suppliers Hope Pilot Projects Provide Clues", Progressive Railroading, June 1997.					
CMN	BX	"PTS Would've Prevented Silver Spring Crash: NTSB", Progressive Railroading, July 1997.					
CMN	BY	Foran, P., "A 'Positive' Answer to the Interoperability Call", Progressive Railroading, September 1997.					
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CMN	CG	Gallamore, R., "The Curtain Rises on the Next Generation", Railway Age, July 1998.					
CMN	CH	Burke, J., "How R&D is Shaping the 21st Century Railroad", Railway Age, August 1998.					
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CMN	CJ	Sullivan, T., "PTC - Is FRA Pushing Too Hard?", Railway Age, August 1999.					
CMN	CK	Sullivan, T., "PTC: A Maturing Technology", Railway Age, April 2000.					
CMN	CL	Moore, W., "How CBTC Can Increase Capacity", Railway Age, April, 2001.					
CMN	CM	Vantuono, W., "CBTC: The Jury is Still Out", Railway Age, June 2001.					
CMN	CN	Vantuono, W., "New-tech Train Control Takes Off", Railway Age, May 2002.					
CMN	CO	Union Switch & Signal Intermittent Cab Signal, Bulletin 53, 1998.					
CMN	CP	GE Harris Product Sheet: "Advanced Systems for Optimizing Rail Performance" and "Advanced Products for Optimizing train Performance", undated.					
CMN	CQ	GE Harris Product Sheet: "Advanced, Satellite-Based Warning System Enhances Operating Safety", undated					
CMN	CR	Furman, E., et al., "Keeping Track of RF", GPS World, February 2001.					
CMN	CS	Walker, Publication No. US 2001/0056544 A1, December 27, 2001.					
CMN	CT	Gazit et al., Publication No. US 2002/0070879 A1, June 13, 2002.					
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CAN	CU	Department of Transportation Federal Railroad Administration, Federal Register, Vol. 66, No. 155, pp. 42352-42396, August 10, 2001.			
	CV				
	CW				
	CX				
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